

Checklists for Application forms under various Acts & Rules

(to be uploaded in XGN Software)

A. <u>Consent for Establishment (CFE) under Water (Prevention and Control of Pollution) Act, 1974 & Air (Prevention and Control of Pollution) Act, 1981:</u>	
SI No	List of Documents to be enclosed
1	Details of proposed pollution control measures (ETP/STP/APC) along with design details
2	Land/premises Details - Rent agreement/Lease agreement in case the property is on rent/lease
3	Land/premises Details - Allotment letter from KIADB/KSSIDC in case the industry is being setup in Industrial area
4	Land/premises Details - Documents w.r.t Special Economical Zone (SEZ) if applicable
5	Site/location/layout Plan (for about 100m radius)
6	SSI Certificate, if applicable.
7	Project report indicating location, extent of land, water source, raw materials, products and by-products, water consumption details, waste water generation & control measures with design Parameters
8	Manufacturing process write-up, manufacturing process flow chart.
9	Copy of proprietorship/ partnership /company deed
10	In case of mining IBM approved mining plan shall be enclosed.
11	Lease copy issued by "Department of Mines & Geology" in case of mining
12	Forest Clearance (if applicable)
13	Layout Plan showing the location of manufacturing area, ETP/APC/STP and Solid/Haz.waste storage & collection points
14	Hazardous waste generation/collection/storage/disposal details
15	Letter on assured water supply & disposal NOC from local body for acceptance of sewage/ effluent
16	Khata extract/RTC
17	Details of Pollution Control measures with design Parameters
18	Environmental Clearance (EC), if applicable
19	Village Map in case of Layouts/Apartments/Commercial Projects
B. <u>Consent for Expansion under Water (Prevention and Control of Pollution) Act, 1974 & Air (Prevention and Control of Pollution) Act, 1981</u>	
SI No	List of Documents to be enclosed
1	Details of the Location – if the expansion is in the Existing Premises
2	Details of the Location – if the expansion is in the Separate Premises
3	details of proposed pollution control measures (ETP/STP/APC)(w.r.t expanded activity)
4	Land/premises Details - Rent agreement/Lease agreement in case the property is on rent/ lease (if additional land is procured)
5	Land/premises Details - Allotment letter from KIADB/KSSIDC in case the industry is being setup in industrial area. (if additional land is procured)
6	Land/premises Details - Documents w.r.t Special Economical Zone (SEZ). If applicable
7	Site/location/layout Plan (for about 100m radius)
8	Details of Pollution Control measures with design Parameters
9	Site/location/layout Plan showing existing & expansion activities.
10	Project report (on expansion) indicating location, extent of land, water source, raw materials, products and by-products, water consumption details, waste water generation
11	Manufacturing process write-up, manufacturing process flow chart.
12	In case of mining IBM approved mining plan shall be enclosed.
13	Forest clearance (if applicable)
14	Hazardous waste generation/collection/storage/disposal details
15	Environmental Clearance (EC), if applicable

C. Consent for Operation (1st CFO) under Water (Prevention and Control of Pollution) Act, 1974 & Air (Prevention and Control of Pollution) Act, 1981:

Sl No	List of Documents to be enclosed
1	Manufacturing process write-up, manufacturing process flow chart (in case of any change in the Process)
2	Compliance to earlier consent/CFE conditions (as applicable)
3	Lease copy issued by “Department of Mines & Geology” in case of mining.
4	Effluent treatment proposal if not submitted/if applicable.
5	Audited Balance sheet along with schedule of fixed assets indicating Gross block of fixed assets
6	Partnership deed/ Proprietor/Company deed (if there is any change)
7	In case of mining IBM approved mining plan.
8	Annual Report under HWM Rules (Form-4)
9	EC/ECC, if applicable

D. Consent for Operation (CFO)-Renewal under Water (Prevention and Control of Pollution) Act, 1974 & Air (Prevention and Control of Pollution) Act, 1981:

Sl No	List of Documents to be enclosed
1	Manufacturing process write-up, manufacturing process flow chart (in case of any deviation).
2	Compliance to earlier consent/CFE conditions (as applicable)
3	Lease copy issued by “Department of Mines & Geology” in case of mining.
4	Analysis report of effluent/stack emission (for renewal of CFO).
5	Audited Balance sheet along with schedule of fixed assets indicating Gross block of fixed assets.
6	In case of mining IBM approved mining plan.
7	Environmental Statement (Form-5)
8	Annual Report under HWM Rules (Form-4)

E. Authorization under Hazardous and other Wastes (Management and Transboundary Movement) Rules, 2016

Sl No	List of Documents to be enclosed
1	Form-4 under HWM Rules (Annual report)
2	Form-13 copies- Manifests
3	Photographs showing the storage area, labeled containers etc.,
4	Valid MOU with the registered recycler/incinerator/TSDF

F. Authorization under Bio-Medical Waste (Management and Handling) Rules, 2016

Sl No	List of Documents to be enclosed
1	Filled in Form-1
2	MOU with CBMWTF
3	Photographs showing the Liquid waste treatment facility provided in the HCE
4	Manifest copy (for handing over wastes to CBMWTF)
5	Photographs showing Colour coded containers maintained in the HCE & storage area in HCE
6	Form-2 (Annual Report)

G. <u>Registration/Renewal under Plastic Waste (Management and Handling) Rules,2016</u>	
Sl.No	List of Documents to be enclosed
1	Certificate of Registration issued by District Industries Centre of the state Government or Union Territory
2	List of persons supplying plastic to be used as raw material to manufacture carry bags or plastic sheet of like ormultilayered packaging(only for producers)
3	List of personal or Brand Owners to whom the products will be supplied
4	Action plan on collecting back plastic
5	List of person supplying plastics waste
6	Action plan on collecting back the plastic waste
7	Annual Report Form-IV of PWM
8	Waste Collection & transportation
9	Analysis report of characterization of waste generated (including leachate test if applicable)
10	Undertaking on adequate pollution control systems or equipment to meet the standards of emission or effluent
11	Authority letter

H. <u>Authorization/Renewal under E-Waste (Management & Handling) Rules 2016</u>	
Sl No	List of Documents to be enclosed
1	Form-3 for filing annual returns by producer or manufacturer or refurbisher or dismantler or recycler
2	Copy of agreement with Producer
3	Copy of agreement with Recycler
4	Copy of agreement with Collection Centre
5	Copy of Directorate General of Foreign Trade license/permission as applicable;
6	Self-declaration regarding Reduction of Hazardous Substances provision
7	Extended Producer Responsibility plan
8	Copy of the permission from the relevant Ministry/Department for selling their product;
9	Certificate of registration issued by the District Industries Centre or any other government agency authorized in this regard
10	Proof of installed capacity of plant and machinery issued by the District Industries Centre or any other government agency authorized in this behalf
11	Authority letter for Authorization to represent

<u>I. Authorization under Solid Waste Management Rules, 2016</u>	
SI No	List of Documents to be enclosed
1	Site clearance (local body) and Details of methodology or criteria followed for site selection
2	Proof of Environmental Clearance (copy)
3	Copy of Consent for Establishment (CFE)
4	Copy of Agreement between municipal authority and operating agency
5	Copy of Investment on the Project and expected returns_
6	Methodology for disposal (Quantity of leachate & Treatment technology for leachate) and Details on Solid waste processing/ recycling/treatment /disposal facility
7	Certificate of registration issued by the District Industries Centre or any other government agency authorized in this regard
8	Form-IV – Format for Annual Report on Solid waste Management to be submitted by the local body

<u>J. Authorization under Construction & Demolition waste Management Rules, 2016</u>	
SI No	List of Documents to be enclosed
1	Detailed proposal of Construction & Demolition waste processing and recycling facility.
2	Details of Plan for Utilization of recycled Product.
3	Form-III – Format of Annual Report on C&D waste Management to be submitted by the local body.

<u>K. Registration of dealers under Batteries (Management & Handling) Rules, 2001</u>	
SI No	List of Documents to be enclosed
1	Details in Form-IV for Registration of Dealers.
2	Details in Form-V for filing returns of sale of new Batteries & collection of old Batteries (half yearly returns)